

We claim:

- 1    1.    A microsensor for sensing a substance comprising:  
2            a substrate;  
3            a source of light;  
4            an optical microresonator fabricated in the substrate exposed to the  
5 substance to allow an interaction between the microresonator and substance;  
6            a waveguide coupling the source of light to the optical microresonator; and  
7            a detector coupled to the microresonator to measure a performance  
8 parameter of the optical microresonator sensitive to interaction of the substance  
9 with the optical microresonator.
  
- 1    2.    The microsensor of claim 1 further comprising a polymer coating disposed  
2 on the microresonator, which polymer coating is reactive with the substance.
  
- 1    3.    The microsensor of claim 1 where the microresonator is a semiconductor  
2 optical ring microresonator.
  
- 1    4.    The microsensor of claim 1 where the microresonator has an initial Q of  
2 10,000 or greater.

1 5. The microsensor of claim 1 where the performance parameter is the  
2 resonant frequency of the microresonator.

1 6. The microsensor of claim 1 where the performance parameter is the  
2 absorption loss of whispering gallery modes in the microresonator.

1 7. The microsensor of claim 1 where the performance parameter is the  
2 quality factor of the microresonator.

1 8. The microsensor of claim 1 where the detector is a germanium detector  
2 and the substrate is a silicon-on-insulator (SOI) heterostructure.

1 9. The microsensor of claim 8 further comprising CMOS integrated read-out  
2 circuitry fabricated in the substrate and coupled to the germanium detector.

1 10. The microsensor of claim 1 where the detector comprises a read-out optic  
2 fiber coupled to a grating coupler.

1 11. The microsensor of claim 1 further comprising a plurality of  
2 microresonators and a corresponding plurality of detectors formed into an array  
3 coupled by the waveguide to the light source in which the plurality of  
4 microresonators are exposed to a plurality of substances.

1 12. The microsensor of claim 11 further comprising an addressing circuit for  
2 reading the array.

1 13. The microsensor of claim 12 further comprising CMOS integrated read-out  
2 circuitry fabricated in the substrate coupled to the addressing circuit.

1 14. The microsensor of claim 1 where the detector comprises a polycrystalline  
2 germanium detector fabricated proximate to the microresonator.

1 15. The microsensor of claim 1 where the detector is deposited onto the  
2 waveguide during a post-processing step following CMOS fabrication of the  
3 waveguide.

1 16. The microsensor of claim 1 further comprising a microfluidic circuit for  
2 communicating the substance to the microresonator.

1 17. The microsensor of claim 16 where the microfluidic circuit comprises  
2 pneumatic valves and peristaltic pumps defined by multi-layer replication  
3 lithography for delivering picoliter volumes of the substance to the  
4 microresonator.

1 18. The microsensor of claim 1 where the microresonator is characterized by  
2 an optical absorption loss determined by direct optical excitation of the substance  
3 when in contact with the microresonator.

1 19. The microsensor of claim 18 further comprising a plurality of  
2 microresonators corresponding to a plurality of different resonant frequencies to  
3 generate an absorption spectrum of the substance.

1 20. The microsensor of claim 2 where the coating reacts with the substance to  
2 form an altered optical parameter which in turn alters an optical parameter of the  
3 microresonator.

1 21. The microsensor of claim 20 where the altered optical parameter is the  
2 refractive index of the coating or the waveguide loss of the microresonator.

1 22. The microsensor of claim 20 where the coating reacts only with the  
2 substance.

1 23. The microsensor of claim 22 where the coating is reacts only with the  
2 substance by means of an enzyme linked immunosorbent assay (ELISA).

1 24. The microsensor of claim 2 further comprising a microfountain pen and  
2 where the coating is applied to the microresonator by the microfountain pen.

1 25. The microsensor of claim 2 further comprising an elastomeric flow channel  
2 in communication with the microresonator and where the coating is applied to the  
3 microresonator by a functionalization treatment by means of the elastomeric flow  
4 channel.

1 26. The microsensor of claim 1 further comprising a plurality of microsensors  
2 organized in an addressable array on the substrate, ones of the plurality of  
3 microsensors being resonant at or tuned to different optical frequencies,  
4 absorption losses of the plurality of microsensors being measured as a result of  
5 optical coupling between an analyte and ones of the resonators as determined by  
6 the resonant frequency of the microresonator and the absorption peak of the  
7 analyte, whereby an absorption spectrum of direct spectroscopy of an analyte or  
8 absorption of antibody-linked fluorescent molecules used as markers are  
9 measured.

1 27. The microsensor of claim 1 further comprising a plurality of microsensors  
2 organized in an addressable array on the substrate, the plurality of corresponding  
3 resonators having a selectively pretreated surface, a change in refractive index  
4 or waveguide loss of ones of the plurality of resonators arising as a result of  
5 selective attachment of an analyte to the pretreated surface being measured.

1 28. The microsensor of claim 1 where the substrate is a silicon-on-insulator  
2 (SOI) substrate, where the waveguide and microresonator are fabricated on the  
3 substrate by means of SOI processes and where the detector is fabricated on the  
4 substrate by means of CMOS fabrication processes.

1 29. The microsensor of claim 1 where the source of light comprises an  
2 external laser.

1 30. The microsensor of claim 1 where the source of light comprises a filtered  
2 tungsten filament lamp, a filtered broad-band light emitting diode, a Fabry-Perot  
3 cleaved cavity laser, a vertical cavity surface emitting (VeSEL), or a grating  
4 coupled surface emitting laser directly bonded onto the substrate.

1 31. The microsensor of claim 13 where the CMOS integrated read-out circuitry  
2 provides diagnostic information on the condition of sensor performance and  
3 electronic intelligence in the read-out process.

1 32. The microsensor of claim 31 further comprising a wireless interface  
2 fabricated on the substrate and communicated to the read-out circuitry.

1 33. A method for sensing a substance comprising:  
2 providing a substrate;

3           providing a source of light;  
4           communicating the light through a waveguide coupled to the source of  
5 light to an optical microresonator fabricated in the substrate exposed to the  
6 substance to allow an interaction between the microresonator and substance;  
7 and  
8           detecting the interaction between the microresonator and substance by  
9 measurement of a performance parameter of the optical microresonator.

1   34.    The method of claim 33 further comprising disposing a polymer coating on  
2 the microresonator, which polymer coating is selectively reactive with the  
3 substance.

1   35.    The method of claim 33 where detecting the interaction between the  
2 microresonator and substance comprising detecting the optical performance of a  
3 semiconductor optical ring microresonator.

1   36.    The method of claim 35 where detecting the optical performance of a  
2 semiconductor optical ring microresonator comprises measuring the optical  
3 performance of a microresonator with an initial Q of 10,000 or greater.

1 37. The method of claim 36 where measuring the optical performance of a  
2 microresonator comprises measuring the resonant frequency of the  
3 microresonator.

1 38. The method of claim 36 where measuring the optical performance of a  
2 microresonator comprises measuring the absorption loss of whispering gallery  
3 modes in the microresonator.

1 39. The method of claim 36 where measuring the optical performance of a  
2 microresonator comprises measuring the quality factor of the microresonator.

1 40. The method of claim 33 where detecting the interaction between the  
2 microresonator and substance comprises detecting the optical output of the  
3 microresonator with a germanium detector and where providing the substrate  
4 comprises providing a silicon-on-insulator (SOI) heterostructure.

1 41. The method of claim 33 further comprising fabricating CMOS integrated  
2 read-out circuitry in the substrate corresponding to each microresonator.

1 42. The method of claim 33 where detecting the interaction between the  
2 microresonator and substance comprises coupling light from the microresonator  
3 to a read-out optic fiber coupled to a grating coupler.



1 43. The method of claim 33 further comprising providing a plurality of  
2 microresonators and a corresponding plurality of detectors configured into an  
3 array coupled by the waveguide to the light source and exposing the plurality of  
4 microresonators to the substance or plurality of substances.

1 44. The method of claim 43 further comprising fabricating an addressing  
2 circuit on the substrate for reading the array.

1 45. The method of claim 44 further comprising fabricating CMOS integrated  
2 read-out circuitry in the substrate coupled to the addressing circuit.

1 46. The method of claim 33 where detecting the interaction between the  
2 microresonator and substance comprises detecting the interaction with a  
3 polycrystalline germanium detector fabricated proximate to the microresonator.

1 47. The method of claim 46 further comprising fabricating the waveguide with  
2 CMOS processes and fabricating the detector in communication with the  
3 waveguide during a post-processing step following CMOS fabrication of the  
4 waveguide.

1 48. The method of claim 33 further comprising providing a microfluidic circuit  
2 for communicating the substance to the microresonator.

1 49. The method of claim 48 where providing a microfluidic circuit comprises  
2 fabricating pneumatic valves and peristaltic pumps by multi-layer replication  
3 lithography for delivering picoliter volumes of the substance to the  
4 microresonator.

1 50. The method of claim 33 where detecting the interaction between the  
2 microresonator and substance comprises measuring an optical absorption loss of  
3 the microresonator arising from direct optical excitation of the substance when in  
4 contact with the microresonator.

1 51. The method of claim 50 further comprising detecting the interaction  
2 between the microresonator and substance at a plurality of microresonators  
3 corresponding to a plurality of different resonant frequencies to generate an  
4 absorption spectrum of the substance.

1 52. The method of claim 34 further comprising selectively reacting the coating  
2 with the substance to alter an optical parameter of the microresonator.

1 53. The method of claim 52 where reacting the coating with the substance  
2 comprise altering the refractive index of the coating or the waveguide loss of the  
3 microresonator.

1 54. The method of claim 52 where selectively reacting the coating with the  
2 substance comprises reacting only with the substance.

1 55. The method of claim 54 where reacting only with the substance comprises  
2 reacting only with the substance by means of an enzyme linked immunosorbent  
3 assay (ELISA).

1 56. The method of claim 34 further comprising applying the coating to the  
2 microresonator by means of a microfountain pen.

1 57. The method of claim 34 further comprising applying the coating to the  
2 microresonator by means of an elastomeric flow channel in communication with  
3 the microresonator.

1 58. The method of claim 33 further comprising providing a plurality of  
2 microsensors organized in an addressable array on the substrate, ones of the  
3 plurality of microsensors being resonant at or tuned to different optical  
4 frequencies, measuring the absorption losses of the plurality of microsensors as  
5 a result of optical coupling between an analyte and ones of the resonators as  
6 determined by the resonant frequency of the microresonator and the absorption  
7 peak of the analyte, and generating an absorption spectrum of direct

8 spectroscopy of an analyte or absorption of antibody-linked fluorescent  
9 molecules used as markers are measured.

1 59. The method of claim 33 further comprising providing a plurality of  
2 microsensors organized in an addressable array on the substrate, the plurality of  
3 corresponding resonators having a selectively pretreated surface, changing the  
4 refractive index or waveguide loss of ones of the plurality of resonators as a  
5 result of selective attachment of an analyte to the pretreated surface and  
6 measuring the change the refractive index or waveguide loss to generate an  
7 assay of the substance.

1 60. The method of claim 33 where providing the substrate provides a silicon-  
2 on-insulator (SOI) substrate, and further comprising fabricating the waveguide  
3 and microresonator on the substrate by means of SOI processes and fabricating  
4 the detector on the substrate by means of CMOS fabrication processes.

1 61. The method of claim 33 where providing the source of light comprises  
2 providing an external laser.

1 62. The method of claim 33 where providing the source of light comprises  
2 providing a filtered tungsten filament lamp, a filtered broad-band light emitting  
3 diode, a Fabry-Perot cleaved cavity laser, a vertical cavity surface emitting

4 (VeSEL), or a grating coupled surface emitting laser directly bonded onto the  
5 substrate.

1 63. The method of claim 45 further comprising generating diagnostic  
2 information on the condition of sensor performance and electronic intelligence by  
3 means of the integrated read-out circuitry.

1 64. The method of claim 45 further comprising fabricating a wireless interface  
2 on the substrate communicated to the read-out circuitry.